

Docket No.: 50212-338



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Masaki OHMURA, et al.

Serial No.: 10/051,299

Group Art Unit: 2874

Filed: January 22, 2002

Examiner: not yet assigned

For: LIGHT WAVEGUIDE TYPE GRATING DEVICE AND A METHOD OF MAKING THEREOF

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

Each non-English language reference was cited in a corresponding foreign application search report or office action and its relevance discussed therein. A copy of the foreign search report or office action, together with an English language version thereof, is attached for the Examiner's information.

10/051,299-

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

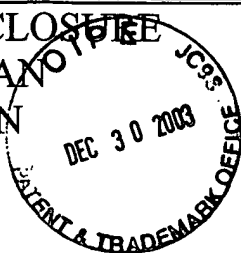
Handwritten signature of Arthur J. Steiner in black ink.

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**Date: November 12, 2002**

**INFORMATION DISCLOSURE  
CITATION IN AN  
APPLICATION**

(PTO-1449)



ATTY. DOCKET NO.  
**50212-338**

SERIAL NO.  
**10/051,299**

APPLICANT  
**Masaki OHMURA, et al.**

FILING DATE  
**January 22, 2002**

GROUP  
**2874**

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	2000-266945	09/29/2000	Japanese with English abstract				
	1 111 415 A1	06/27/2001	European				
	11-084117	03/26/1999	Japanese with English abstract				
	0 672 922 A2	09/20/1995	European				
	2001-021738	01/26/2001	Japanese with English abstract				

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Holmes, M.J. Kashyap, R. Wyatt, R. Smith, R.P., Ultra narrow-band optical fibre sidetap filters, Optical Communication, 1998. 24th European Conference on, 20-24 Sept. 1998 Madrid, Spain, vol. 1, pp. 137 - 138
	Westbrook, P.S. Strasser, T.A. Erdogan, T., In-line polarimeter using blazed fiber gratings, IEEE Photonics Technology Letters, Oct. 2000, Volume: 12 Issue: 10, pp. 1352 - 1354

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.